



1/2 3624 \$

AMENDMENT TRANSMITTAL LETTER			Docket No. 3519.002.00
Application No. 09/928,109	Filing Date August 10, 2001.	Examiner Alain L. Bashore	Art Unit 3624

Applicant(s): Thomas R. GOODWIN, et al.

Invention: SYSTEM AND METHOD FOR TRADING AND ORIGINATING FINANCIAL PRODUCTS USING A COMPUTER NETWORK

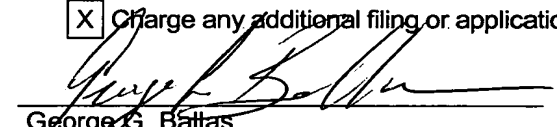
TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	23	- 20 =	3	x \$25	\$75.00
Independent Claims	6	- 3 =	3	x \$100	\$300.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					\$375.00

- ☐ Large Entity ☒ Small Entity
- ☐ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 375.00 to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0911 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.


George G. Ballas
Attorney Reg. No.: 52,587

Dated: June 15, 2005



Docket No.: 3519.002.00
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Thomas R. Goodwin, et al.

Customer No. 30827

Application No. 09/928,109

Confirmation No. 6279

Filed: August 10, 2001

Art Unit: 3624

For: **SYSTEM AND METHOD FOR TRADING
AND ORIGINATING FINANCIAL
PRODUCTS USING A COMPUTER
NETWORK**

Examiner: Alain L. Bashore

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action dated March 15, 2005, please amend the patent application identified above as follows:

INTRODUCTORY COMMENTS

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

06/16/2005 SDENB01 00000072 09928109

01 FC:2202 75.00 OP
02 FC:2201 300.00 OP